

United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	F	ILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/002,795		11/15/2001	Michael L. Reed	10055 (NCRC-0061-US)	5289
26890	7590	01/06/2005		EXAM	INER
JAMES M. NCR CORPO				ALI, MOH	AMMAD
		N RSON BLVD, WHQ	4	ART UNIT	PAPER NUMBER
DAYTON,		, ,		2167	
				D. MT. 14.11 TD. 01/06/000	_ "

Please find below and/or attached an Office communication concerning this application or proceeding.

				
•		Application No.	Applicant(s)	
Office Action Summer:		10/002,795	REED ET AL.	
	Office Action Summary	Examiner	Art Unit	
		Mohammad Ali	2167	
Period fo	The MAILING DATE of this communication app or Reply	ears on the cover sheet with the c	orrespondence add	dress
THE - Exte after - If the - If NC - Failu Any	ORTENED STATUTORY PERIOD FOR REPLY MAILING DATE OF THIS COMMUNICATION. nsions of time may be available under the provisions of 37 CFR 1.13 SIX (6) MONTHS from the mailing date of this communication. e period for reply specified above is less than thirty (30) days, a reply period for reply is specified above, the maximum statutory period were to reply within the set or extended period for reply will, by statute, reply received by the Office later than three months after the mailing ed patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply be timed within the statutory minimum of thirty (30) days will apply and will expire SIX (6) MONTHS from a cause the application to become ABANDONE	nely filed s will be considered timely the mailing date of this co	/. mmunication.
Status				
1)⊠	Responsive to communication(s) filed on 22 Ju	ıly 2004.		
·	•	action is non-final.		
3)□	, -			merits is
Dispositi	ion of Claims			
5)□ 6)⊠ 7)□	 4) Claim(s) 1-37 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) is/are allowed. 6) Claim(s) 1-37 is/are rejected. 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/or election requirement. 			
Applicati	on Papers			
9)[The specification is objected to by the Examine	г.		
10)	The drawing(s) filed on is/are: a) acce	epted or b) \square objected to by the E	Examiner.	
	Applicant may not request that any objection to the	drawing(s) be held in abeyance. See	37 CFR 1.85(a).	
11)	Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.			• •
Priority ι	ınder 35 U.S.C. § 119			
a)[Acknowledgment is made of a claim for foreign All b) Some * c) None of: 1. Certified copies of the priority documents 2. Certified copies of the priority documents 3. Copies of the certified copies of the priority documents application from the International Bureau See the attached detailed Office action for a list of	s have been received. s have been received in Application ity documents have been receive I (PCT Rule 17.2(a)).	on No ed in this National S	Stage
Attach	W-1			
Attachmen 1) Notic	t(s) e of References Cited (PTO-892)	4) Interview Summary	(PTO-413)	
2) 🔲 Notic	e of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Da	ite	
	nation Disclosure Statement(s) (PTO-1449 or PTO/SB/08) r No(s)/Mail Date	5) Notice of Informal Pa	atent Application (PTO	-152)

Application/Control Number:	Page 2
10/002,795	
Art Unit: 2167	

DETAILED ACTION

This communication is in response to the amendment filed on August 03, 2004.
 Claims 1-37 are pending in this Office Action

Response to Arguments

2. After further search and a thorough examination of the present application, claims 1-37 remain rejected.

Applicants' arguments with respect to claims 1-37 have been considered, but they are not deemed to be persuasive.

First, Applicant's argue that Woodhill does not teach "storage user-defined data types in a database system".

In response to applicant's arguments the Examiner respectfully submits that Woodhill teaches this particular limitation as, the Distributed Storage Manager program determines whether a Backup Queue Record exists for the located file by comparing the file's file block information to the information stored in Backup Queue Database.

Program control continues where a user-defined priority is assigned to the file and stored in the File Priority field of the Backup Queue Record, see col. 6, lines 3-15, Fig. 2, Woodhill.

Second, Applicant's argue that Woodhill does not teach "compression routine to compress data of a user-defined type".

In response to applicant's arguments the Examiner respectfully submits that
Woodhill teaches this particular limitation as, the Distributed Storage Manager program
performs two concurrent backup operations. The Distributed Storage Manager program

Application/Control Number:	Page 3
10/002,795	
Art Unit: 2167	

stores compressed copy of every binary object it would need to restore every disk drive on every local computer somewhere on the local area network other than on the local computer on which it normally resides. The Distributed Storage Manager program transmits every new or changed binary object to the remote backup file server. Binary objects that are available in compressed form on the local area network can be restored very quickly while the much greater storage capacity on the remote backup file server ensures that at least one copy of every binary object is stored and that a disaster that destroys an entire site would not destroy all copies of that site's data, see col. 9, lines 30-44, Woodhill.

Third, Applicant's argue that if Woodhill does not teach "table" Woodhill also doesn't teach "database".

In response to applicant's arguments the Examiner respectfully submits that Examiner did not mentioned in his motivation that Woodhill does not teach the "table" rather Examiner describes Woodhill does not explicitly indicated claimed "table".

Fourth, Applicant's repeatedly argue that Woodhill does not teach "user-defined types"

In response to applicant's arguments the Examiner respectfully submits that Woodhill teaches this particular limitation as stated above.

Hence, Applicants' arguments do not distinguish over the claimed invention over the prior art of record.

In light of the foregoing arguments, the 103 rejections are hereby sustained.

Application/Control Number:	 Page 4
10/002,795	
Art Unit: 2167	

Claim Rejections - 35 USC § 103

- 3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

4. Claims 1-37 are rejected under 35 U.S.C. 103(a) as being unpatentable over Woodhill et al. ('Woodhill' hereinafter), US Patent 5,649,196 in view of MacLeod et al. ('MacLeod' hereinafter), US Patent 6,434,558.

With respect to claim 1,

Application/Control Number:	Page 5
10/002,795	. ago o
Art Unit: 2167	

Woodhill a process for use in a database system (see col. 6, lines 38-39, Fig. 2), comprising:

storing data according to a first user-defined data type in a table (program control continues where a user-defined priority is assigned to the file and stored in the File Priority field of the Backup Queue Record, see col. 6, lines 11-15, Fig. 2, Woodhill);

associating at least a first compression routine with the first user-defined data type (compression Routine executing the functions of the routine. The Backup/Restore Routine, the Local Storage Routine and the compression Routine executed on each of the local computers on the networked computer system while the Resource Allocation Routine is executed on only one of the local computers on the networked computer system, see col. 10, lines 49-59, Woodhill); and

using the first compression routine to compress the data according to the first user-defined data type (the Distributed Storage Manager program performs two concurrent backup operations. The Distributed Storage Manager program stores compressed copy of every binary object it would need to restore every disk drive on every local computer somewhere on the local area network other than on the local computer on which it normally resides. The Distributed Storage Manager program transmits every new or changed binary object to the remote backup file server. Binary objects that are available in compressed form on the local area network can be restored very quickly while the much greater storage capacity on the remote backup file server ensures that at least one copy of every binary object is stored and that a disaster that

Application/Control Number:	Page 6
10/002,795	
Art Unit: 2167	

destroys an entire sitė would not destroy all copies of that site's data, see col. 9, lines 30-44, Woodhill).

Woodhill does not explicitly indicate the claimed "table" when user-defined data type.

MacLeod disclose the claimed "table" when user-defined data type (data stored in a relational database is accessed by way of a user-defined query that is constructed in a query language such as SQL. For any given SQL query there are numerous procedural operations that need be performed on the data in order to carry out the objectives of the SQL query. There are numerous joins and table scans that need to be performed so as to accomplish the desired objective, see col. 5, lines 53-60 et seq).

It would have obvious to one ordinary skill in the data processing art, at the time of the present invention to combine the teachings of the cited references, because the table when user-defined data type of MacLeod's teachings would have allowed Woodhill's system to allow the tables are tracked by attaching lineage information to the data by adding a lineage identifier to each row in a table as suggested by MacLeod at col. 1, lines 66 to col. 2, lines 2. Table when user-defined data type as taught by MacLeod improves to trace the history data in a table when data has made several hops among databases (see col. 2, lines 15-7, MacLeod).

As to claim 2,

Woodhill further comprising using a second compression routine to compress the data to improve compression efficiency (see col. 9, lines 60 to col. 10, lines 7).

As to claim 3,

Application/Control Number: 10/002,795			Page 7
Art Unit: 2167		 	<u>.</u>

Woodhill wherein using the first and second compression routines comprises using user-defined data type methods (see col. 6, lines 32-34).

As to claim 4,

Woodhill wherein using the user-defined data type methods comprises using methods built in with the first user-defined data type (see col. 6, lines 11-15).

As to claim 5,

Woodhill wherein using the first compression routine comprises using a first compression method built in with the first user-defined data type (col. 6, lines 11-15).

As to claim 6,

Woodhill further comprising providing a user-defined method executable to invoke the first compression method (col. 10, lines 60-63, Fig. 1).

As to claim 7,

Woodhill further comprising invoking the user-defined method to invoke a second compression method built in with the first user-defined data type (col. 6, lines 11-15 et seq).

As to claim 8,

Woodhill wherein invoking the user-defined method comprises invoking the user-defined method to alter compression efficiency ('effrctiveness') (col. 10, lines 65 to col. 1, lines 2 et seq).

As to claim 9,

Woodhill further comprising providing a second user-defined data type built upon the first user-defined data type (see col. 6, lines 32-34 et seq).

Application/Control Number:	Page 8
• •	1.30
10/002,795	
10/002,700	
Art I Init: 2167	
Alt Ollic 2101	
Art Unit: 2167	

As to claim 10,

Woodhill further comprising storing a first type of data using the first user-defined data type and storing a second type of data using the second userdefined data type (see col. 6, lines 11-34).

As to claim 11,

Woodhill further compnising using a second compression routine to compress the second type of data (see col. 13, lines 62 to col. 14, lines 10 et seq).

As to claim 12,

Woodhill further comprising inheriting at least a data structure and at least a built-in method from the first, user-defined data type into the second userdefined data type (see col. 3, lines 39-40).

With respect to claim 13,

Woodhill an article comprising at least one storage medium containing instructions that when executed cause a system (see col. 10, lines 60-63, Fig. 1) to:

store data according to a first user-defined data type (program control continues where a user-defined priority is assigned to the file and stored in the File Priority field of the Backup Queue Record, see col. 6, lines 11-15, Fig. 2, Woodhill); and

associate a first compression routine with the first user-defined data type for compressing the data (compression Routine executing the functions of the routine. The Backup/Restore Routine, the Local Storage Routine and the compression Routine executed on each of the local computers on the networked computer system while the

Application/Control Number:	Р	age 9
10/002,795		
Art Unit: 2167		

Resource Allocation Routine is executed on only one of the local computers on the networked computer system, see col. 10, lines 49-59, Woodhill).

Woodhill does not explicitly indicate the claimed "table" when user-defined data type.

MacLeod disclose the claimed "table" when user-defined data type (data stored in a relational database is accessed by way of a user-defined query that is constructed in a query language such as SQL. For any given SQL query there are numerous procedural operations that need be performed on the data in order to carry out the objectives of the SQL query. There are numerous joins and table scans that need to be performed so as to accomplish the desired objective, see col. 5, lines 53-60 et seq).

It would have obvious to one ordinary skill in the data processing art, at the time of the present invention to combine the teachings of the cited references, because the table when user-defined data type of MacLeod's teachings would have allowed Woodhill's system to allow the tables are tracked by attaching lineage information to the data by adding a lineage identifier to each row in a table as suggested by MacLeod at col. 1, lines 66 to col. 2, lines 2. Table when user-defined data type as taught by MacLeod improves to trace the history data in a table when data has made several hops among databases (see col. 2, lines 15-7, MacLeod).

As to claim 14,

Woodhill wherein the instructions when executed cause the system to associate a second compression routine with the first user-defined data type, the first and second

Application/Control Number:	Page 10
10/002,795	
Art Unit: 2167	

compression routines (see col. 6, lines 11-34) providing different compression algorithms (see col. 8, lines 14-24 et seq).

As to claim 15,

Woodhill wherein the instructions when executed cause the system to provide the first compression routine as a method built in with the first user-defined data type (see col. 6, lines 11-15).

As to claim 16,

Woodhill wherein the instructions when executed cause the system to provide the second compression routine as a inethod built in with the first user-defined data type (see col. 6, lines 11-34).

As to claim 17,

Woodhill wherein the instructions when executed cause the system to associated a first data structure with the first user- defined data type, the first data structure to indicate a type of compression applied on a data object (see col. 3, lines 37-44 et seq).

As to claim 18,

Woodhill wherein the instructions when executed cause the system to associate a second data structure with the first user-defined data type, the second data structure to indicate a percentage amount of compression of the data object (see col. 3, lines 37-44).

As to claim 19,

Application/Control Number:	Page 11
10/002,795	
Art Unit: 2167	

Woodhill wherein the instructions when executed cause the system to access the first and second data structures of the data object when accessing the data object (see col. 3, lines 37-44 et seq).

As to claim 20,

Woodhill wherein the instructions when executed cause the system to store the data object in a relational table (see col. 13, lines 8-21).

As to claim 21,

Woodhill wherein the instructions when executed cause the system to store the data object in a relational table distributed across multiple access modules (see col. 18, lines 49-54).

As to claim 22,

Woodhill wherein the instructions when executed cause the system to provide a second user-defined data type built upon the first user-defined data type (see col. 6, lines 11-34).

As to claim 23,

Woodhill wherein the instructions when executed cause the system to provide a second user-defined data type built upon the first user-defined data type (see col. 6, lines 11-34).

As to claim 24,

Woodhill wherein the instructions when executed cause the system to inherit the first compression routine from the first user-defined data type into the second user-defined data type (see col. 6, lines 11-34).

Application/Control Number:	 Page 12
10/002,795	
Art Unit: 2167	 ·

As to claim 25,

Woodhill wherein the instructions when executed cause the system to: associate a second compression routine with the first user-defined data type (see col. 6, lines 11-34); and

inherit the second compression routine from the first user-defined data type into the second user-defined data type (see col. 6, lines 11-34).

As to claim 26,

Woodhill wherein the instructions when executed cause the system to: store a first type of data using the first user-defined data type (see col. 6, lines 11-34); and store a second type of data using the second user-defined data type (see col. 6, lines 11-34 et seq).

With respect to claim 27,

Woodhill a database system (see col. 1, lines 67 to col. 2, lines 11), comprising: a storage system to store at least a table (program control continues where a user-defined priority is assigned to the file and stored in the File Priority field of the Backup Queue Record, see col. 6, lines 11-15, Fig. 2, Woodhill);

a plurality of compression routines to apply respective different compression algorithms (the Binary Object LRC field set equal to the standard Longitudinal Redundancy Check number calculated against the contents of the binary object taken four (4) bytes (32 bits) at a time using the following algorithm. The Binary Object Hash field is calculated against the contents of the binary object taken one (1) word (16 bits) at a time using the algoritm); and

Application/Control Number:	Page 13
10/002,795	
Art Unit: 2167	

a controller adapted to invoke one of plurality of compression routines to compress data stored in the table (the Distributed Storage Manager program performs two concurrent backup operations. The Distributed Storage Manager program stores compressed copy of every binary object it would need to restore every disk drive on every local computer somewhere on the local area network other than on the local computer on which it normally resides. The Distributed Storage Manager program transmits every new or changed binary object to the remote backup file server. Binary objects that are available in compressed form on the local area network can be restored very quickly while the much greater storage capacity on the remote backup file server ensures that at least one copy of every binary object is stored and that a disaster that destroys an entire site would not destroy all copies of that site's data, see col. 9, lines 30-44, Woodhill).

Woodhill does not explicitly indicate the claimed "table".

MacLeod disclose the claimed table (data stored in a relational database is accessed by way of a user-defined query that is constructed in a query language such as SQL. For any given SQL query there are numerous procedural operations that need be performed on the data in order to carry out the objectives of the SQL query. There are numerous joins and table scans that need to be performed so as to accomplish the desired objective, see col. 5, lines 53-60 et seq).

It would have obvious to one ordinary skill in the data processing art, at the time of the present invention to combine the teachings of the cited references, because the table of MacLeod's teachings would have allowed Woodhill's system to allow the tables

Application/Control Number:	Page 14
10/002,795	
Art Unit: 2167	

are tracked by attaching lineage information to the data by adding a lineage identifier to each row in a table as suggested by MacLeod at col. 1, lines 66 to col. 2, lines 2. Table as taught by MacLeod improves to trace the history data in a table when data has made several hops among databases (see col. 2, lines 15-7, MacLeod).

As to claim 28,

Woodhill wherein the table includes a relational table and the data is stored in a first attribute of the relational table (see col. 15, lines 24-38).

As to claim 29,

Woodhill wherein the first attribute is according to a first user-defined data type (see col. 6, lines 11-34).

As to claim 30,

Woodhill wherein the plurality of compression routines are methods built in with the first user-defined data type (see col. 6, lines 11-34).

As to claim 31,

Woodhill the storage system to store a second table having a second attribute according, to a second user-defined data type built upon the first user-defined data type (see col. 6, lines 11-34).

As to claim 32,

Woodhill wherein the controller is adapted to invoke another one of the compression routines to alter compression of the data (see col. 13, lines 50-61).

As to claim 33,

Application/Control Number:	Page 15
10/002,795	
Art Unit: 2167	

Woodhill wherein the controller is adapted to invoke another one of the compression routines in response to a. Structured Query Language UPDATE statement (see col. 17, lines 40-46 et seq).

As to claim 34,

Woodhill wherein the controller comprises a user-defined method (see col. 6, lines 11-34).

As to claim 35,

Woodhill wherein the plurality of compression routines comprise methods built in with the first user-defined data type (see col. 6, lines 11-34), the user-defined method executable to invoke the methods built in with the first user-defined data type (see col. 6, lines 11-34 et seq).

As to claim 36,

Woodhill further comprising a plurality of access modules adapted to manage access to respective portions of the storage system (see col. .

As to claim 37,

Woodhill wherein the table is distributed across multiple access modules (see col. 18, lines 49-54 et seq).

Conclusion

5. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of Time policy as set forth in 37 CFR 1.136(a).

Application/Control Number:	Page	1 6
10/002,795		
Art Unit: 2167		

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Application/Control Number: 10/002,795	Page 17
Art Unit: 2167	

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mohammad Ali whose telephone number is (571) 272-4105. The examiner can normally be reached on Monday-Thursday (7:30 am-6:00 pm).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John E Breene can be reached on (571) 272-4107. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Mohammad Ali Primary Examiner Art Unit 2167

MA December 29, 2004